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MASOC-505

Modern Sociological Thinkers-II

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MODERN SOCIOLOGICAL THINKERS
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SYLLABI-BOOK MAPPING TABLE

MODERN SOCIOLOGICAL THINKER

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Unit III: Critical Theory and Neo-Marxism Frankfurt School –Life World and System: L. Althusser Hegemony: A. Gramsci .	Unit III: Critical Theory and Neo-Marxism
Unit IV: Critical Theory and Neo-Marxism Recent Trend in Sociological Theorizing: Structuration: Anthony Giddens-Habitus and Field; Bourdieu- Postmodernism- Semiotics-Convergence.	Unit IV: Critical Theory and Neo-Marxism

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- 2.1. Unit Objectives**
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4.4. Let us sum up

4.5. Key Terms

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4.7.1. Short-Answer Questions

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INTRODUCTION

Sociological theories and concepts are the very substance of sociology. There would be no sociology unless there were concepts embedded in sociological theory itself. The basic idea of this book is to acquaint the learner with the major sociological theories learnt through an understanding and application of sociological concepts. The understanding of sociological concepts demands thorough understanding of the basic concepts embedded in theory. As such the learner must attempt to see how these concepts and theory advance the understanding of society at large.

The book is divided into following four units:

Unit I: The Crisis of Sociology and Critique of Positivism

Unit II: Structural-Functionalism

Unit III: Critical Theory and Neo-Marxism I

Unit IV: Critical Theory and Neo-Marxism II

The learning material in the book is presented in a structural format so that it is easy to grasp. Each unit begins with an introduction followed with unit objectives. The detailed content is then presented in a simple language, interspersed with check your progress questions to enable the student to test his/her understanding as and when they go through each unit. Summary and key terms are provided at the end of each unit which help in quick recollection. Questions and Exercises are also provided for further practice.

UNIT-1
THE CRISIS OF SOCIOLOGY AND THE CRITIQUE OF
POSITIVISM PART II

Structure

- 1.0. Introduction: Challenging the Establishment and Orthodoxy-Gouldner and Mills
- 1.1. Unit Objectives
- 1.2. Contributions and Accomplishments
- 1.3. The Sociological Imagination
- 1.4. Biography and History
- 1.5. Summary
- 1.6. Key Terms
- 1.7. Questions: (Short answer types)
- 1.8. Check Your Progress
- 1.9. Questions: (Long answer types)
- 1.10. References and Further Reading

1.0. INTRODUCTION: CHALLENGING THE ESTABLISHMENT AND ORTHODOXY-GOULDNER AND MILLS

The study of sociology of social thought has of late has generated a great interest especially among scholars in recent times. This has a lot to do with to get a fresh insight into the writing of founding fathers for an understanding of current issues. The second one is for young students of sociology who are keen to know the history of the discipline. In between the readings of classical and modern sociology lies the writing of two important American sociologists who took positivism and capitalism by the scruff of its neck and began a realignment of sociological scholarship. Both of them were considered too radical for their time and were often accused of anarchism not because of their intellectual curiosity and contribution but the way they to the establishment, critiquing and attacking its foundation. One identified the crisis in sociological theory while the other provided a sociological insight to look into social context of everyday life. These two celebrated contemporary thinkers were Alan W. Gouldner and C. Wright Mills.

A look into their life and work would be a fascinating study. It will also be an entertaining journey to the mid-twentieth century sociological tradition and the times of socialist challenge to capitalism. The history of social thought in general and in American sociology in particular is the story of these two fascinating sociologists, their life, work and influence on sociological theory. In this instructional material an attempt has been made to capture this phenomenon and provide students an insight into critical sociology.

In fact, the objective of this unit is to familiarize students with critical and reflexive sociology and acquaint them with new sociological tradition. An attempt has been made to address the main concerns of sociological theory in 1960s and depict these concerns through the writings of Gouldner and Mills. The shift from the grand Parsonian narrative of synthesis and integration to a more specific focus on social theory, current problems and preoccupation

with power marks the beginning of reflexive sociology. After a careful reading of this text the students will be able to appreciate the power of critical thinking and will be in a position to locate the Sociology in everyday life.

1.1 . UNIT OBJECTIVES

After going through this unit, you will be able to:

- Trace the crisis of Western sociology
- Explain the concept of ‘sociological imagination’
- Describe the contribution of Mills to the elite theory
- Examine the link between history and biography from Mill’s perspective

1.2. CONTRIBUTIONS AND ACCOMPLISHMENTS

The sociology of Mill’s was a work in understanding and interpreting the interaction and interrelation between and among individuals, between individual and society and the undercurrents of power play establishing itself in terms of inequality, domination and hegemony. He was also concerned about powerlessness of middle class and a growing trend of apathy among the intellectuals. But the influence of weber on him never waned and found in his perspective where he regarded that history has a major role to play in providing means to sociological understanding.

In ‘Power Elite’, treated as an important addition to the elite theory associated with Pareto, Mosca and Mitchels is a treatise on the role of the centralized agencies over citizens and the way power is hankered for and attained in society by a miniscule minority. Power Elite is a text on power, domination and hegemony in the context of fast modernizing American society with its focus on capitalism, technology, and media. The diminishing clouds of World War II, the setback in Vietnam, the saga of McCarthyism and the tentacles of cold war significantly altered the texture of American society especially of its intellectual climate. Bureaucratization of society demonstrated during the phase of McCarthyism and

technological modernization according to Mills bereft the population from sociological imagination and stunted their ability to correlated personal achievements with societal goals. Taking the power theory and centralization of power further mills espoused the multi-polarity of power structures but their nature and culture remains the same whether they are in bureaucracy, military or corporation. Though Mills did not used the Marxist argument of 'class-in-itself' as these three pillars supported each other in condensing power and often collapsed with each other to held on to power. It was also not an open and naked conflict between 'powerful' and 'powerless' rather it was an attempt to marginalize the middle class and refrain other aspirant groups from power through manipulation and machinations of modernity. This has been late vividly described by Hamza Alvi in his work on South Asia.

This tendency of elites, to use forces to their advantage, so that they can gain economic and power meant that they had to extend their sphere of influence and domination from their traditional forte. Perched in the pinnacle of political, corporate and military hierarchy elites had the ambition, opportunity and resources to capture the imagination of people and mould it according to their interest. Mills like other sociologist believed that social institutions like family, church and schools determine our choices, career and ambitions and they are in turn are shaped by elites through the interventions and thus, extent their power to the entire gamut society. In the process elites turn these lesser though primary institutions into means for their ends. Much like Gramsci, who described such relations as 'hegemony' and Althusser who illustrated the role of government institutions and media as 'ideological state apparatus' in instilling and indoctrinating the dominant worldview?

According to Mills this process of passive interference over a period creates, necessary and definite condition of existence for common man reducing him to a self-aggrandizing spoke in the wheels of work-spend circle. This complete domination of our life style and worldview by hijacking our points of interaction at primary level the power elite

dictate and determine our conditions of existence both material and ideological. Much like Marx, Mills wrote that such a condition of existence to remain unchallenged requires a degree of legitimacy which comes through media that the elites constantly used to produce, disseminate and popularize the 'the dominant ideas of the ruling class' i.e. the world of consumerism.

The most influential and famous work of C. W. Mills is "The Sociological Imagination" which was published in Oxford by Oxford University Press in 1959 became a rage among sociological texts and continue to received significant attention. In the beginning Mills draws attention to public towards sociology and describes how one should approach the world if one wants to see and understand as a sociologist does. The sociologist differs from layman and others from the perspective he uses to perceive the reality. The sociologist according to Mills employs 'sociological imagination' in understanding and interpreting the world around him. Mills define sociological imagination as a "quality of mind that allows oneself to intersperse between history and biography". It is about linking the present with the past at one hand and the personal with public with other. He emphasizes the importance of finding the link between the nuances of everyday mundane life and grate forces of social transformation which changes the course of history.

1.3. THE SOCIOLOGICAL IMAGINATION

The sociological imagination is a method, a perspective to analyze everyday life and positioned oneself in the broader context of society. Writing in the background of 60s of a fast-changing American society Mills tried to capture the chaos, confusion and individualism of the common man. The setting was perfect in the sense that it was accompanied by surge of radicalism, growing disinterestedness of intellectuals and marginalization of middle class. In the context of this overarching reality Mills felt pulse of the people when he said that

everyone things there is a lot of confusion, chaos and difficulty in their life and despite knowing the same they could not get out of this hole. It feels like that they are trapped. This feeling according to Mills is not entirely wrong on the part of the individual because that is what he sees around him. But the problem is that he fails to understand that his surrounding and his field of operation is neither isolated nor insular from the general context rather milieu of his time.

A rise in the price of commodities, a failure of marriage, the specter of unemployment that haunts an ordinary man is a real problem and affect him individually and by extension to his family and there are many people like that. But pushed to the corner he tries to find an answer to his troubles and in failure he gets depressed. This according to Mills is an example of 'personal trouble' which confronts individuals time and again as we live in uncertain times. But there are also larger issues those challenge the society viz. war, pestilence, disaster etc. Countries and continents try to find solution to them and probably a means to minimize the damage and they in turn do affect the individuals. A rise in petrol price due to a war in a faraway country is not uncommon fact but a reality that is often passed off by an individual as a disconnected happening without any real implication for him. These for Mills are 'public issues'. The real problem is the seemingly 'disconnect' between 'personal troubles' and 'public issues' for an ordinary person which results in his inability to perceive the reality beyond the immediate surroundings.

According to Mills, restricted to their private orbit they move vicariously and remain mute spectators. Like a quicksand their efforts to transcend this orbit only push them further down making them trapped further in the furrows of emptiness. Central to this precariousness of private trouble lays the inability to intermingle and transcend biography and history. Biography though means documented experiences of a person, his lived-in world, in Mills description it refers to the sum of life-world lived in a community

experiencing life in society. Our biography is the compendium of our personal experiences enriched by our interrelation and interaction with our fellow members of society, our responses to challenges posed by the time, our failure and success making efforts to overcome such challenges. Needless to add many such biographies enriched with multiple challenges and responses create history.

1.4. BIOGRAPHY AND HISTORY

For Mills biography and history are intertwined. History is not merely a chronological record past event rather history is the product of success and failure of men and women, their trials and triumphs. Though Mills was not utterly Marxist in defining history as material conditions of human existence, he was not far off while emphasizing the human efforts in creating history. Drawing metaphors from Marx, Mill says, ‘when a society is industrialized, a peasant becomes a worker; a feudal lord is liquidated or becomes a businessman. When classes rise or fall, a person is employed or unemployed; when the rate of investment goes up or down, a person takes new heart or goes broke. When wars happen, an insurance salesperson becomes a rocket launcher; a store clerk, a radar operator; a wife or husband lives alone; a child grows up without a parent’.

This explain the impact of society on people and they life of people get a direction and dimension due to socio-cultural factors of which people are seldom aware of. They try to find out fault lines in their life, try to bridge a gap or look for solution around their immediate surroundings. This often fails miserably and people get into depression, melancholy and unhappiness, feeling much like fish outside water. What they fail to understand is that their personal life is intricately entangled with the context of society, its economic and political condition and its historical roots. Much like Durkheim, Mills puts a primacy on society that determines the direction that individuals take without being aware of it.

It is difficult for ordinary people to realize that the choices they make in their personal life is not personal at all. In fact, when focus on a career and make all effort to achieve that we tend to believe that it is a conscious decision made by us alone. But a close look at the background and direction only tell us that it this decision is influenced by someone else's success or product of a fertile ground for such a career determined by market. Take the example of investment banking a lucrative and highly desirable career suddenly became unwanted immediately in the aftermath of economic crisis in 2009. The problem is that benefits and burdens shared by people in their personal life often passed as their own success and failure rather than the cumulative effect of socio-economic and political context. Consequently, ordinary people seldom recognize that their troubles and triumphs are shaped by history and institutional contradictions. According Mills to get acquainted with this fact requires the ability of possess sociological imagination. Mills believed that sociological imagination requires the capability to combine history and biography and the mental faculty to transcend them. Without understanding history, it will be impossible to know biography and vice versa.

This understanding of interplay between biography and history entails the sociologist to discover the nuances and subterfuge of social life. Needless to add, this insight gave the benefit to Mills in identifying layers and divisions of human interrelationship and reciprocity. The standardization and crystallization of such relationships are known as norms and values. Norms largely denote structured patterns of over behavior while ideologies are moral and ethical standards developed as a form internalized belief. However, it is very difficult for ordinary people to make that connection between history and biography, between personal troubles and social issues, between individuals' beliefs and social standards. This occurs because of the inability of people to find a connection between their personal life and the social context.

In a critical and dismal assessment of existing world order, its economic and political philosophy, Mills write that “after two centuries of ascendancy, capitalism is shown up as only one way to make society into an industrial apparatus. After two centuries of hope, even formal democracy is restricted to a quite small portion of mankind”. As modernity has approached there has been catastrophic transformation all over the world be it industrial or agrarian, developed or developing, capitalist or society. Violence and disenchantment has become the norms of the society, everywhere there has been a disruption, old ways of life have been broken and new demands have come up, almost like ‘anomie’ as Durkheim noted during the early phases of industrialization in Europe. Bureaucratization of society and mechanization of human life has made the society bereft of cherished values.

The idea is to underline the human experience and evolve to that extent we can comprehend social structure. Once this is accomplished it would be easier to locate human freedom amidst the contest between the demands of an industrial society and need to establish autonomy, freedom and values. This realization will help us in finding the connection between history and biography and our capacity to transcend them. This quality of mind according to Mills is sociological imagination.

To conclude it can be said that the aim of the unit was to acquaint the students with the writings of two stalwarts of American sociology. There are a lot of similarities in their writing and personality. It is true that our personality influences our professional life. Both were antiestablishment, anarchists and with a lot of idiosyncrasies and eccentricities. Both were brilliant students with sharp and deep insights. Both died relatively early and had serious personal problems. But like many geniuses they had overcome their personal tragedies and professional disenchantment to contribute handsomely to sociology, making it both richer and luxuriant.

1.5. SUMMARY

- Weber's position on achieving power through caste and class allowed him to effectively delineate with hierarchy and differences embedded with social structure.
- A combination of Weber, Manneheim with Marx's dialectical materialism made a potent methodological application of sociological theories on the political and intellectual life of American society.
- The sociology of Mill's was a work in understanding and interpreting the interaction and interrelation between and among individuals, between individual and society and the undercurrents of power play establishing itself in terms of inequality, domination and hegemony.
- In 'Power Elite', Mills treated as an important addition to the elite theory associated with Pareto, Mosca and Mitchels is a treatise on the role of the centralized agencies over citizens and the way power is hankered for and attained in society by a miniscule minority.
- The sociological imagination is a method, a perspective to analyze everyday life and positioned oneself in the broader context of society.
- For Mills biography and history are intertwined. This understanding of interplay between biography and history entails the sociologist to discover the nuances and subterfuge of social life.

1.6. KEY TERMS

Positivism: a philosophical system recognizing only that which can be scientifically verified or which is capable of logical or mathematical proof, and therefore rejecting metaphysics and theism.

- Power: The ability or capacity to do something or act in a particular way.

- Elite: a select group that is superior in terms of ability or qualities to the rest of a group or society.

1.7. QUESTIONS: (SHORT ANSWER TYPE)

1. Who was Gouldner's mentor?
2. Whose theories have received largest criticism from Gouldner?
3. Gouldner was influenced by which sociological tradition?
4. What was the crux of Gouldner's argument?
5. What is the crisis Gouldner is concerned about?
6. Gouldner was highly influenced by which Greek author?
7. Who was a contemporary of Gouldner as a sociologist?
8. Gouldner taught at which University?
9. What the first book of Gouldner?
10. Who was Gouldner's professor under whom he wrote his doctoral dissertation?
11. What is the meaning of elite?
12. Which groups of people are discussed in 'Power elite'?
13. What was the context of Mill's work on Power Elite?
14. What is the meaning of 'sociological imagination'?
15. What promise does sociological imagination holds?
16. What do mean by 'private trouble'?
17. What do you mean by 'public issues'?
18. Which social scientist is a fellow traveler of a sociologist in his field of inquiry?
19. What is social structure?
20. Mills was influenced by which founding father of sociology?

1.8. CHECK YOUR PROGRESS

1. Robert Merton
2. Talcott Parsons
3. European?
4. Reflexivity
5. Lack of Reflexivity and growing influence of uncritical functionalism
6. Plato
7. Max Horkheimer, in fact, entire group of sociologists associated with critical theory.
8. Washington University?
9. Patterns of Industrial Bureaucracy
10. R. K. Merton
11. People of extraordinary brilliance and influence in their profession
12. Bureaucrats, Industrialist and politicians.
13. Capitalism and dominance of conservatism
14. It is a quality of mind that allows one to link history and biography.
15. To situate oneself in the context where history and biography are interpolated and the opportunity to looking beyond own perimeter of situation.
16. Personal problems affecting an individual in his everyday life.
17. The larger socio-economic and political context.
18. Historian
19. The interrelationship between people, their patterns of interaction and consequent social institutions.
20. Karl Marx

1.9. QUESTIONS: (LONG ANSWER TYPE)

1. Explain the concept of 'functionalism' and problems associated with it as discussed by Gouldner?
2. Write a note on intellectual development of Gouldner from a mere a critic of functionalism to a radical thinker.
3. Explaining the concept of 'reflexivity' highlight its importance for sociology?
4. Elucidate the influence of Marx on the writings of Goulnder.
5. Why Gouldner thinks that neither Marxism nor functionalism has answer to the problems of sociological theory identified by him.
6. Explaining the concept of 'sociological imagination' write a note on its contemporary relevance.
7. Describe the contribution of Mills to the elite theory.
8. Explaining the nuances alternative sociological traditions critically examine the contributions of C. W. Mills.
9. Critically examine the link between history and biography following the lead provided by Mills.
10. Provide a comparative analysis of the contributions of Gouldner and Mills and importance for development of sociological theory.

1.10. REFERENCES AND FURTHER READING

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UNIT –2

STRUCTURAL FUNCTIONALISM PART II

Structure

2.0. Introduction of structural Functionalism

2.1. Unit Objectives

2.2. Robert Merton's - Structural functionalism

2.2.1. Introduction

2.3. Postulates of Functional Analysis

2.3.1. Postulate of Functional Unity

2.3.2. Postulate of Universal Functionalism

2.3.3. Postulate of Indispensability

2.4. Manifest and Latent Function

2.5. Theories of the Middle Range

2.6. Neo-Functionalism of Jeffrey Alexander

2.6.1. Introduction of Neo-Functionalism

2.6.2. Emergence of Neo-Functionalism

2.6.3. Biography of Alexander

2.6.4. Theoretical Postulates

2.6.5. Criticism of Neo-Functionalism

2.7. Summary

2.8. Important Terms to Remember

2.9. The Key Ideas

2.10. Questions and Exercises (Short Type)

2.11. Questions and Exercises (Long Type)

2.12. 'Check your progress'

2.13. References and further Readings

2.0. INTRODUCTION OF STRUCTURAL FUNCTIONALISM

Structural functionalism is a dominant theory of sociology. Structural functionalism often commonly used as functionalism that see society as complex system, an integrated whole consists of different parts or subsystem which work together, contribute towards the smooth running of system, promote solidarity and stability. The supporters of this theory says society can be compared to human organism (Organic Analogy)in which the structure of every part and its function is very important as each part contribute for the existence and stability of the whole i.e. society or social system. Here they give more thrust to maintain social order. And it is performed by all its important social institutions like economy, polity, family, law etc. And its primary elements like norms, values, status and roles. So functionalism is considered as a logical extension of the organic analogies for societies. It explains that why and how society functions the by emphasizing on the relationships between its various institutions.

2.1. UNIT OBJECTIVES

- To acquainted students with the theory of Structural Functionalism
- To understand the social system theory of Talcott Parsons
- To describe the criticism of earlier functionalism by R. K. Merton
- To understand function, non-function and dysfunction
- To understand the Middle Range Theory

2.2.5 ROBERT MERTON'S STRUCTURAL FUNCTIONALISM

2.2.1. INTRODUCTION

Robert King Merton (July 4, 1910 – February 23, 2003) was an American whose reputation is well evident for establishing Sociology as science. He was teaching at Columbia University, as a university professor. In 1994, Merton won the award of National Medal of Science for his contributions to the field and for having founded the sociology of science.

Merton has introduced the noteworthy concepts such as "latent and manifest function", the "reference group", and "anomie" but is perhaps best known for having created the theory "middle range" and "self-fulfilling prophecy". Social roles constituted central theme of Merton's theory of social groups. Merton stressed that, one individual does not possess one role and one status only, rather he has a status set in the social structure that has several roles or expected behaviour patterns.

While Talcott Parsons is the most significant structural-functional theorist, Robert Merton was his student has also worked on many important aspects of structural functionalism in later period. Basically his work is a critical evaluation of Parsons's work. He has criticised some of the extreme views of Parsonian structural functionalism. His conception had given new insights to many dimensions of structural functionalism. In opposition to the 'general' theory of Parsons, Merton has insisted on what he calls 'theoretical pluralism' – the inevitable and desirable coexistence of many theories in a field. Criticising the grand theory of Parsons he established "theories of middle range" such as reference theory. In this he advocates for the close connection between theoretical issues and empirical evidences. Though Merton was not in favour of grand theory of Marx, still he was in much extent influenced by Marxian theory. "He and some of his students particularly Alvin Gouldner can be observed to push structural functionalism more to the left politically" (Ritzer: 235).

2.3. POSTULATES OF FUNCTIONAL ANALYSIS

Merton has contributed significantly to the codification and systematisation of functional analysis. Accepting functional analysis as a methodological orientation, Merton parted from the traditional functionalism like Malinowski and Brown by noticing a shift from static to a dynamic image of society. He reviewed the essential postulates of functional

analysis, criticized, refuted and modified the postulate of unity, universalism and indispensability.

2.3.1. POSTULATE OF FUNCTIONAL UNITY

The first postulate is regarded as postulate of functional unity. The earlier structural functionalist approach holds that all standardized cultural beliefs and practices are functional for society as a whole and all its individual members. According to this postulate, every part of the social system is functional for the entire system. All parts of society are seen to work together for the maintenance and integration of society as a whole. For example Redcliff Brown views that the contribution of particular social custom is functional for the total social life and the functioning of the total social system. And Malinowski argues that customs are functional for the culture as a whole and for its individual members. Merton questions this assumption and argues that it may be true for small scale traditional societies, but cannot be generalized for modern, complex, industrialized and urban society. In complex and highly diversified societies, this 'functional unity' is uncertain. He provides the example of religious pluralism to illustrate this point. In a society with a variety of faiths, religion may tend to divide rather than integrate the members as there are number of religious conflict and communalism seen in modern societies. Merton argues that functional unity is a matter of degree. Its extent must be determined by investigation and proper verification rather than simply beginning with the assumption that it exists. According to Merton in highly differentiated societies institutions may well have a high degree of 'functional autonomy'. Therefore a change in the function of a specific institution may have little or no effect on other institutions.

2.3.2. POSTULATE OF UNIVERSAL FUNCTIONALISM

Universal functionalism is the second postulate that assumes ‘all standardised social or cultural forms have positive functions’. 19th century anthropologists, for example, assumed that every continuing social pattern or custom have positive functions contributing to the maintenance of a system. Malinowski’s views that “in every type of civilization, any custom, material object, idea and belief have some vital positive functions”. Merton claimed that this contradicts what we observe in real world. He said that the assumption of every facet of social system performing a positive function is not only premature, it may well be incorrect. Rather functionalist perspective should see that any part of society may be functional, dysfunctional or non-functional. Thus poverty may be seen as dysfunctional for the poor but functional for the non-poor. Social functions he says refer to objective observable consequences and not subjective dispositions. He also distinguish between functions – all those observed consequences which make for the adaptation or adjustment of a given system and dysfunction – those observed consequences which lessen adjustment or adaptation.

Latent goals can be functional, dysfunctional or non-functional. The following are few examples in this regard. Most of the times we consider slums have many dysfunctions for the society like it gives habitation to drug addicts and criminals; these are unhygienic conditions, these are not the places where civic conditions are present. These are manifest dysfunction of slums. But Merton will be indicating slums are offering services to people living in the heart of the city. If there are no slums, the functionality of the government will not be visible. Government will be introducing slum resettlement programme, so this is making government more visible. Slums are catering to the needs of poor in a society. Therefore these are the invisible role of slums that need to be considered by the sociologists seriously. One should not be jumping directly into conclusion that every institution is having a specific functions or specific dysfunctions. Many functions are visible, many functions are

not. Therefore, one has to develop a net balance analysis about a functions, dysfunctions and non-functions associated with every institution. Encompassing on this fact, Merton indicates that crime should not be considered as a dysfunctional phenomenon in every society. People go for deviant behaviour because sometimes when they adhere to the culturally prescribed means in an identical manner they do not accomplish their goal. So there creates a huge gap between commitment to culturally prescribed means and accomplishment of goals. This dichotomy leads to manifestation of deviant/criminal behaviour. So he tells crime or deviant behaviour is a normal phenomenon and present in every society. It has its functional, non-functional and dysfunctional role. So while looking at deviance as a kind of act we should not be jumping to the conclusion that deviance has negative role to play. Deviance has to be studied in its every possible unique detail.

2.3.3. POSTULATE OF INDISPENSABILITY

The third postulate of indispensability assumes that certain institutions or social arrangements are indispensable to society. Functionalist theorists have often assumed religion in this sense. For example, functionalist like Davis and Moore claim that religion ‘plays a unique and indispensable part in society’. Merton criticised the assumption of indispensability by arguing that the same functional prerequisites may be fulfilled by different alternative institutions. Thus, there is no rationalization for presuming that institutions such as the family and religion are indispensable to perform a particular function in society. Merton has replaced the notion of indispensability with an alternative concept of ‘functional equivalents’ or ‘functional alternatives’. From this point of view a political ideology such as communism can provide a functional alternative to religion.

Merton argues that these three postulates are little more than articles of faith. In fact they require proper investigation for its proper accuracy and proven contribution. Merton argues that his framework for functionalist analysis removes the charge that functionalism is

ideologically based. He claims that the parts of society should be analyzed in terms of their proper 'effects' or 'consequences' on society rather than any assumption about it. Since these affects can be functional, dysfunctional or non-functional, Merton claims that the value judgment present in the assumption that all parts of the system are functional is therefore removed.

2.4. MANIFEST AND LATENT FUNCTION

Further he states that these functions and dysfunctions may be either manifest (consequences that are intended and recognized by members) or latent (unintended, unrecognized consequences about which members are not aware).

Merton has introduced the concept of *manifest* and *latent* function in sociological analysis that is treated as important additions to functional analysis. In simple term manifest functions are those that are intended consequences or consequences of which the participants are aware, whereas latent functions are unintended consequences of which the participants are unaware. The manifest function of slavery, for example, was to increase the economic productivity of the Southern United States, but it had the latent function of providing a vast underclass that served to increase the social status of Southern Whites, both rich and poor. Actions have both intended and unintended consequences, sociological analysis is required to uncover the unintended consequences; indeed, to some this is the very essence of sociology. Peter Berger (1963) has called this "debunking" or looking beyond stated intentions to real effects.

We can discuss the concept of manifest and latent function of R.K. Merton through the contribution of some great sociologists. If we will look into the writings of the Durkheim, he tells that people in simple society, go for rituals. In rituals what we find that they are singing together, dancing together, glorify their religious idea together, but at the same time, this form of coming together implicitly promoting a sense of unity and solidarity among the

members of that society. Merton's own example of Hopi Indians and their group dance for rain can be discussed here. When, they dance together with a religious idea very unknowingly they develop a sense of belongingness, togetherness, unity, solidarity or integration among themselves. So here manifest role/function is commitment to ritual/religion but latent role/function is social unity or solidarity that established among them.

Other interesting examples can be taken from the writings of W. H. Sumner. He writes that people practice a kind of behaviour to gratify their immediate needs and they follow the same procedure rigorously to gratify these needs, that constantly appearing in their way of life. This continuous practice gives rise to the growth of a custom, norm or usages. Therefore nobody makes a custom in a pre-planned manner. So it is latent mode of orientation that gives rise to manifest growth of customs in the society. In many situations we know certain things which do not carry intended consequences. But when that provides certain fruitful results we celebrate it. So life is not so systematized, as spelled out by Parson. But life is full of permutations and combinations. Reacting to this variability, one should conduct sociological research. Therefore sociological research should be bounded by facts; it should not be based on speculation and imagination.

He further looks into the analysis of Zeneski and W.I.Thomas. Both of them discussed about peasant co-operatives in Poland. They find out co-operatives are formulated in Poland to offer the credit facilities to farmers for addressing their economic problems, like to grant the loan at the time of need, to give different kind of training, how to use their productive potentials in a positive manner. But ultimately, participating in these peasant co-operatives, they got information about the larger social world, started reading newspaper, watch television, communicate with each other and therefore became more conscious about what is really happening in the larger social world. This is a latent orientation. Ultimately peasant community's little tradition gets associated with the great tradition of the society's culture

and politics. Therefore, for many spheres of life we must find out that manifest goal may be one, but latent goals are many.

2.5. THEORIES OF THE MIDDLE RANGE

Robert K. Merton developed Middle-range theory which is considered as an approach that focuses on the integration between theory and empirical research. Middle-range theory stands in contrast to the earlier "grand" theorizing of social theory, like /structural functionalism/system theory and Marxist theory. Middle range theory is an approach that explains a specific set of empirical observable phenomena, as opposed to abstract grand theory proposed by Talcott Parsons that seeks to explain phenomena at a societal level.

Merton asserts that sociological theory, if it is to advance significantly must proceed on these interconnected planes:

1. By developing special theories from which to derive hypotheses that can be empirically investigated and
2. By evolving a progressively more general conceptual scheme that is adequate to consolidate groups of special theories.

(Robert K. Merton, Social Theory and Social Structure)

The term "middle-range theory" does not refer to a specific theory, but is rather an approach to theory construction. Merton criticized the scholars who are in supporter of advocating abstract theorizing and attempts to construct a total theoretical system which can cover the gamut of all aspects of social life. Middle range theory is situated between simple statistical or observational regularities and grand theories based on abstract entities. Merton believes in the necessity for sociological theory constructed between "minor working hypothesis" and "master conceptual schemes".

Merton advocated for more empirical concrete understanding about the contextual problem and measures its validity properly for a better understanding of the social reality rather than

attempting to introspect about all the aspect of social world in a holistic manner and take it as a universal outcome. While Parson advocated the creation of grand all-embracing theories, Merton favored more limited, contextual and concrete middle range theories. Merton's views often grand theories are appearing like abstract descriptions and applying to every society is very problematic. Therefore, it is the responsibility of the sociologists to examine each phenomenon empirically in its true context. Merton's belief that empirical tests, not theoretical assertions are crucial to functional analysis led him to develop his "paradigm" of functional analysis as a guide to the integration of theory and research. A few examples of middle range theories are theories of reference groups, social mobility, role conflict and the formation of social norms. The middle-range approach has played a pivotal role in turning sociology into an increasingly empirically-oriented discipline.

Merton's work is often compared to that of Talcott Parsons. Unlike Parsons, who emphasized the necessity for social science to establish a general foundation, Merton preferred more limited, middle-range theories. According to Merton, middle-range theory starts its theorizing with clearly defined aspects of social phenomena, rather than with broad, abstract entities such as society as a whole. Theories of the middle range should be firmly supported by empirical data. These theories must be constructed with observed data in order to create theoretical problems and to be incorporated in proposals that allow empirical testing.

Middle-range theories fall between the working hypotheses of everyday research and unified, general theories of social systems. Merton was critical of the sociological quest for general theories, exemplified by Talcott Parsons's project, because he believed such theories to be too abstract to be empirically testable.

‘Check your progress’

1. Who defined pattern variable?
2. What is AGIL?

2.6. NEO FUNCTIONALISM OF JEFFREY ALEXANDER

2.6.1. INTRODUCTION OF NEO-FUNCTIONALISM

Structural Functionalism, the perspective which took its shape especially through the work of Talcott Parsons and one of the dominant theoretical postulates of twentieth century Sociology has started declining its significance from 1960s. However by 1980s there were attempts to revive its significance under the banner of what is called as Neo Functionalism. The major focus of Neo-Functionalism is to deal with the imagined binaries in social theories like consensus and conflict, equilibrium and change and between collectivity and individuality. Therefore this course intends to introduce the context in which the theoretical postulates of Neo-Functionalism emerged and its relevance. Ideas of Jeffrey Alexander one of the pioneering American Sociologist who had significantly contributed to Neo-Functionalism to address the critique of Structural Functionalism is also included. This write up starts with a brief overview of emergence of Neo-Functionalism, then the biography and intellectual background of Jeffrey Alexander is explained followed by the major theoretical Postulates of Neo Functionalism and its critique. Important terms and review questions are included at the end and that will help you to brush up the key ideas and arguments.

2.6.2. EMERGENCE OF NEO-FUNCTIONALISM

One of the notable attempts was started in Germany, with the writings of Niklas Luhmann and Jurgen Habermas. Luhmann tried to develop a sociological approach that combines aspects of Parsons’ structural functionalism with general system theory by introducing concepts from cognitive biology and cybernetics. He disagreed with Parson on

the options available to people. Parsons believed in value consensus and considered social system penetrates to the personality system. This will limit the options available to the individual for independent social behaviour or freedom to enter in to social relationship of their choice. However Luhmann taken individual out of society from his analytical scheme and placed them in what he termed as 'societal environment'. This idea is far more complex and less restrictive when compared to society. This imagination accounted and acknowledged people's freedom for irrational or immoral behaviour which was not present in Parsons' scheme. On the other hand Habermas in his early writings was critical of Parson. Later his understanding about the relationship between cultural, social and personality systems became more consistent with parsons' view. His concept of 'self regulating system' in complex societies, where in structural systems are separated from life-world was accommodated by Habermas.

Another place where neo-functionalism had its roots is United States of America. Jeffrey Alexander and his collaborator Paul Colony from USA propounded Neo Functionalism. Jeffrey Alexander considered Neo Functionalism as a critical strand of Structural Functionalism. The attempt was to overcome the problems with the Structural Functionalism like 'anti-individualism', 'resistance to change' and 'ant empirical bias'. Although it revived lots of hope in retaining the influence of functionalism by mid 1980s Alexander took a position that Neo Functionalism is a 'tendency' rather than a fully developed theory. However later Colomy consolidated major theoretical strands that emerged within Neo Functionalist tradition and tried to argue that it has made significant advance as an independent theoretical position. Though Neo Functionalism aspired to be a reconstruction of Structural Functionalism, it borrowed insights largely from other classical traditions like; from Parsons or Durkheim for its integrationist arguments and Marx to formulate its arguments of tension and social change. On the other level Symbolic Interactionist and

Phenomenological theories were used to address the macro-level biases of traditional Structural Functionalism. One of the important differences pointed out by J C Alexander between the Structural Functionalism and Neo Functionalism is about its rigidity in understanding society. This means in Structural Functionalism the conceptual scheme proposed has tried to become all encompassing and rigidly packed diverse field of enquiry under the umbrella of single set of postulates. However Neo Functionalism proposes a loosely organised package of postulates that are bounded around a general logic. In this scheme possibility for independent development in systems or even variations among different levels and empirical domain are accepted. Therefore the overall tendency of Neo Functionalism is a kind of ‘syntheses’.

2.6.3. BIOGRAPHY OF ALEXANDER

Jeffrey Alexander, born in 1947 in United States of America. He got his PhD from University of California, Berkeley, for his work on Theoretical Logic in Sociology published in 1978. His major concern was centered on problems of social action and social order. His ideas took shape when he was part of student movement at Harvard College and University of California, Berkeley. The early influence was in his career was New Left Marxism which tried to overcome economies and tried to give role of actor in the making of history. This has given him ideas about the theoretical synthesis. However later he got dissatisfied with the New Left Marxism as he considered its project as more violent and sectarian. He developed a conviction that within capitalist democratic society there are opportunities for inclusion, pluralism and reform which are not possible within the Marxian thought. He also imagined New Left Marxism as a theory is unable to create a direct engagement with action and order.

After leaving the radical inclination he in an attempt to create synthesis Durkheim was more of idealistic and Weber moved in to mechanistic view. Parson tried to address this however became overly formal and normative based. In his work Action and Its

● ● ● | Integrative model



Environments (1987) he tried to lay out a new articulation of the micro-macro link. His later works are more focused on developing a new cultural theory as he believed that very less has been done from the perspective of sociology to address culture. He focused on semiotics, hermeneutics and post- structuralism thought. He tried to bring in theories from outside sociology in order to demonstrate manifold ways in which social structure is permeated by symbolic codes and meanings. His latest interest is in the idea of civil society which he explains as important to take forward theoretical synthesis in post-communist world to understand complex, inclusive and fragile democracies. He believed that the progress in sociology is possible only through multidimensional synthetic view of society.

2.6.4. THEORETICAL POSTULATES

The arguments of neo-functionalism can be summarized as follows:

It basically focused on the *descriptive model of society*. In this society is understood to be formed through pattern of interactions among the different elements of the society. Like that of functionalism it believes that parts of the system have a symbiotic connection though this interaction is not determined by any overarching force. This pattern gives the system a distinctive identity against the environment it exists. Neo functionalism has more of an open ended and pluralistic view of social structures.

Unlike Structural Functionalism wherein the focus was on the structures and cultures at macro level that brings in order, neo functionalism *tried to focus equally on action as well*

as order. Thereby it tried to address the ‘anti-change’ image of structural functionalism. Neo-functionalism like its preceding version retained interest in the idea of ‘integration’. However unlike structural functionalism where integration was understood as an existing and never changing fact it considered integration as a possibility. Therefore the equilibrium was treated as a reference point for explanation of events than the descriptive aspect of people’s life in actual social systems. Apart from the traditional Parsonian consideration on personality, culture and social system neo functionalism gave importance to the tension between systems in social structure that work as a source of change and control. This adjustment has helped new functionalism to accommodate the explanation for the sources of change. Neo functionalism understood change in the context of ‘differentiation’ within the social, cultural and personality systems. Therefore change comes from the ‘individuation and institutional strains’. In his book *Theoretical Logic in Sociology*, Alexander suggests a new theoretical logic. His discussion is based on two concepts one is Action and the other is Order. To this postulate Action is the activity of the actor which takes place on the basis of the order or social system. The social system which Alexander calls as order is guided by norms and utilitarian interests. The social order on the other hand, is constructed on actor’s consensus. Thus he argues that there should be synthesis between action and order.

At the level of action the dichotomy persisted was rational vs non rational action. Wherein Economics always accounted for rational choice principle. In a market context producers and consumers may make rational decision. However sociologists like Weber, Durkheim and Pareto among others tried to propose a more general theory of action which also includes irrational elements like value commitments or emotions. Alexander argued for a “multi-dimensional” approach in which both rational and non-rational elements of action are included in the theorization.

In the case of order Alexander tried to address the dichotomy between collectivity vs individual. One set of theorists argues that to understand a collective phenomenon one must employ collective level concepts. Similar way Durkheim argued that social facts can be explained by another set of social facts – what can be called as methodological holism. On the other hand another set of theorists argued that collective phenomena should be explained by reference to how they arise, persist or change through concatenation of individual action which is termed as methodological individualism. Therefore his postulates on a good theory is - A theory is better, the more it is explicitly as to its principles concerning rationality and non-rationality in the treatment of human action, the more it is explicit as to its methodology in relation to individualism and holism in the treatment of collective phenomena.

‘Check your progress’

3. Who introduced the term Neo-functionalism?

2.6.5. CRITICISM OF NEO-FUNCTIONALISM

Some criticized the functionalism proposed by Alexander as vague. Another criticism is that what Neo Functionalism include everything that functionalism had been criticized as lacking. It is also criticized that the changes proposed in neo functionalism is more cosmetic than real. Though conflict was tried to accommodate it has given only a secondary place in the whole scheme and revolution is not all considered. Individuals are regarded as reactors to the system rather than dynamic and creative actors. Alexander maintained a post positivist position in the sense that one theory must be compared with another theory rather than empirical research. So Alexander expected the coming of a grand theory which built around the ideas of post positivism. However despite the hybridisation attempt neo-functionalism failed to attain the status of a ‘distinctive paradigm’ let alone a grand theory.

2.7. SUMMARY

Jeffrey Alexander rejected micro theories which starts with voluntary agency and build towards the macro levels (like Interactionism) because they were unable to account the basic character of the collective phenomena. At the same time he also rejected “collectivist”, “rationalist”, “materialist” theories that emphasised on the coercive order and eliminated the individual freedom. He also emphasised that the collective order and individual freedom (voluntarism) has to be combined with the normative rather than the rationalist tradition. In his essay Neo Functionalism and After, Jeffrey Alexander argues that it is not a project with an end in itself. His aim was to re-establish the legitimacy of the Parsonian Theory in which he had succeeded. He said he is ready to move beyond neo-functionalism and Parson though his basic commitment remains unchanged. However on his later career Jeffrey Alexander turned towards themes like Civil Society, though he understood it as a realm of interactions outside the economy and state. The failure of the structures like state and the themes like civil society lies outside the scope of Neo-Functionalism.

2.8. IMPORTANT TERMS TO REMEMBER

Structural Functionalism

Structure

Function

Pattern Variable

Manifest and Latent Function

Non-Function and Dysfunction

Neo Functionalism

Action – Instrumental and Materialist Vs. Normative and Idealist

Order - Collective Vs. Individual

Multi Dimensional and Synthetic View of Society

2.9. THE KEY IDEAS

- Functionalism thinks about society as an integrated social system made up of various subsystem
- The integrity of the social system depends on necessary functions carried out by various subsystems and the level of their integration.
- Talcott Parsons developed the most sophisticated and systematic account of society as a social system
- The smallest component of system is the unit act, which is made-up of a social actor who aims to satisfy particular ends with the help of particular means prescribed for him/her in specific social context.
- The largest components of the system are the behavioural system, the personality system, the social system and the cultural system.
- These four systems are tied to the four functional prerequisites of Adaptation, Goal attainment, Integration and Latency (AGIL in short).
- Parsons views that in social system social actors has to consider various value-choices (pattern-variable).
- Talcott Parsons structural functionalist theory confronts difficulty in explaining dysfunctions/non-functions in the system arising from unintended consequences or conflicting situations and social change.
- R.K. Merton reviewed the essential postulates of earlier functional analysis, criticised, refuted and modified the postulate of unity, universalism and indispensability.
- Merton argues that functional unity is a matter of degree. Its extent must be determined by investigation and proper verification rather than simply beginning with

the assumption that it exists.

- Functionalist analysis should see that any part of society may be functional, dysfunctional or non-functional.
- Merton has replaced the notion of indispensability with an alternative concept of 'functional equivalents' or 'functional alternatives'.
- Further he states that these functions and dysfunctions may be either manifest (consequences that are intended and recognised by members) or latent (unintended, unrecognized consequences about which members are not aware).
- Merton has provided the most important criticism to the Grand theory of Parsons
- Middle-range theory, developed by Robert K. Merton, is an approach to sociological theorizing aimed at integrating theory and empirical research.
- Middle range theory is situated between simple statistical or observational regularities and grand theories based on abstract entities.
- With the introduction of the middle range theory program, he advocated that sociologists should concentrate on measurable aspects of social reality that can be studied as separate social phenomena, rather than attempting to explain the entire social world.
- Middle range theories are normally constructed by applying theory building techniques to empirical research, which produce generic propositions about the social world, which in turn can also be empirically tested.

2.10 AND EXERCISES (SHORT TYPE)

- What is social system?
- What is the smallest unit of system?

- What are the largest elements of system?
- What is Pattern variable?
- What is the importance of pattern variables described by Parsons?
- Give examples of function, non-function and dysfunction?
- What is manifest function?
- What is latent function?
- What is middle range theory?
- What is neo-functionalism?

2.11. QUESTIONS AND EXERCISES (LONG TYPE)

- Discuss the structural functionalist perspective of Talcott Parsons.
- Critically evaluate the social system theory of Parsons
- Discuss the criticism of R. K. Merton to the earlier structural functionalist theory
- Discuss the manifest and latent function as explained by Merton with suitable examples.
- Write a note on Merton's middle range theory
- Discuss the neo-functional theory discussed by J. Alexander.
- Discuss in detail about the criticism of structural functionalist theory.
- What are the criticisms of structural functionalism that lead to neo-functionalism?
- How neo-functionalism overcome the critique on structural functionalism?
- What is the Integrative Model of Jeffrey Alexander?
- Discuss about the future prospects of neo-functionalism?
- Write a brief note on the intellectual influences on Jeffrey C Alexander?
- What are the major similarities and differences between structural functionalism and neo-functionalism?

2.12. CHECK YOUR PROGRESS

1. Talcott Parsons
2. Adaptations, Goal Attainment, Integration, Latency
3. Jaffrey C. Alexander

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UNIT-3

CRITICAL THEORY AND NEO-MARXISM I

STRUCTURE

3.0. Introduction

3.1. Unit Objectives

3.2. The central idea of the Frankfurt School (Critical Theory) and the notion of Neo-Marxism

3.2.1. Lifeworld and System: Jürgen Habermas

3.2.2. Structural Marxism: Louis Althusser

4.2.3. Hegemony and Mature Capitalism: Antonio Gramsci

3.4 Check Your Progress/Self-Assessment Questions – 1

3.4. Let us sum up

3.5. Key Terms

3.6. Answer to ‘Check your progress’

3.7. Self-Assessment Questions

3.7.1. Short-Answer Questions

3.7.2. Long-Answer Questions

3.8. Further readings/suggested readings

3.0 INTRODUCTION

In this unit the first aim will be to outline the reasons why some sociologists turned to Freudian theory which resulted into Critical theory. The main reason, stated briefly, was to help the understanding and the explanations of non-rational actions. Critical theorists or members of the Frankfurt School, was working in Germany and the United States. One of the most recent and influential members of this group is Jürgen Habermas. This group is Marxist, or neo-Marxist, that is influenced by Marx, but not dogmatic in their approach. A good deal of the most significant neo-Marxists has dealt with superstructures in this unit: Gramsci on hegemony, Althusser on ideological state apparatuses. Later, this unit will offer an exposition of the recent trends in sociological theorizing based on the ideas of Anthony Giddens and Pierre Bourdieu. Finally a brief summary will thread the key arguments of these thinkers.

3.1. UNIT OBJECTIVES

After reading this unit, you should be able to:

- explain the central theme of the Frankfurt School of Critical Theory and outline the notion of neo-Marxism
- comprehend the conceptual map of Jürgen Habermas's philosophy
- discuss Louis Althusser and the resurrection of Marx
- analyse Antonio Gramsci and the hegemonic force
- describe the recent trends in sociological theorising based on the ideas of Anthony Giddens (structuration theory) and Pierre Bourdieu (habitus and field)
- highlight the features of postmodernism

3.2. THE CENTRAL IDEA OF THE FRANKFURT SCHOOL (CRITICAL THEORY) AND THE NOTION OF NEO-MARXISM

We are dealing with the works of scholars – Max Horkheimer, Theodor Adorno, Eric Fromm, Herbert Marcuse, and Jürgen Habermas – associated with the Institute of Social Research in Frankfurt, originally founded in 1923. It became the first formally unaffiliated Marxist institute in Europe. Its most influential director was Max Horkheimer. The scholars attempted to revise the Marxist theory of capitalism and in order to engage with a new political and social condition. In the process a “critical theory” of society emerged to deal precisely with those Marx and his orthodox philosophy had neglected.

The concept of critical theory was initially used as a code word for two purposes. First, it enabled the scholars of Institute to distinguish themselves from the prevailing forms of orthodoxy. More importantly the word critical theory enabled them to disguise their radical commitments in the environment which was hostile to anything connected with Marxism. Critical has introduced new dimensions in social theory. A multi-approach to society comes from critical theory. It enables social theory to transcend the fragmentation of academic disciplines. It is inherently self-critical. Second, the scholars of Institute saw culture, in the widest sense, as having a high degree of autonomy from the economic material base or superstructure of capitalist societies. In its analyses of the culture of capitalism and the culture industry, the Institute contributed much to the development of contemporary cultural studies. Horkheimer argued in his essay, ‘Studies of authority and family’ (1936), that ‘cultural lags’ could develop in the sense that people remained emotionally and personally attached to cultural beliefs, values and practices long after the original economic base for them had disappeared. This had happened in the case of the aura of authority attaching to father in German families, including working class families,

even though the father was no longer the only source of economic support he once had been. The family was seen as the mediating institution between the material substructure and the ideological superstructure. For the scholars of Institute, the family and the other agencies of socialisation increasingly taking over from it, especially the mass media, were central to understanding the failure of the proletariat.

It is important to remember that the outbreak of the First World War, many Marxists expected the workers of Germany, France and Britain to fight together against the international capitalist class, not to enter enthusiastically into the nationalistic emotions of their army officers and kill other workers just because they were German, or French, or British. This attachment to nationalism was not some vague emotion, but was a deep-seated aspect of workers' cultural identity which could lead them to kill others of a different cultural identity in war. It was this power supplied any theoretical ideas which could explain how the phenomena of nationalism, fascism, radicalism and religious bigotry could affect the actions of workers, the builders of the new society. Apart from the concept of 'false consciousness', there was very little in Marx that could help understanding of the non-rational actions of the proletariat in Europe and North America.

It was to gain more understanding of the hold of these non-rational cultural forms that the critical theorists turned to Freud's psychoanalytical theories. Here was a theorist who had written in the twentieth century about the non-rationality of western cultures. Freud placed the roots of non-rational actions in the early socialisation everyone experiences in the family. There was a direct point of entry for sociologists interested in similar problems. Freud's starting point was seeking to understand patient's illnesses – physical, mental, or psychosomatic. Horkheimer and the other critical theorists' starting point was the non-rational aspects of capitalism in the first

four or five decades of this century – its wars, unemployment, development of fascism, and then its ‘one-dimensional’, compulsive conformist way of life after the World War II ended. Though committed to the idea of critique, the Frankfurt School became increasingly pessimistic about the possibilities of ending the oppression of capitalist culture though Habermas, who had studied at Frankfurt when a member of the group, attempted to formulate the conditions of emancipation.

In many disciplines, an effort has been underway to redefine Marxism so as to retain some of its normative emphasis (concern for equality, emancipation, etc.) and some of its insights into capitalism and yet to transcend some of its mechanistic or deterministic emphasis. The impetus for these efforts has been a generalised sense among Marxist scholars that the determinant role ascribed to the economic base by classical Marxism and the secondary or merely reflective role ascribed to all other cultural events such as politics, law and art is an inadequate description of the current social world. The writings of Louis Althusser and Antonio Gramsci highlights for many importance of analysing capitalism not merely a set of economic relationships but also as a set of beliefs, practices and institutions that protect itself by exercising a certain moral authority or all those who participate in it. Concepts like hegemony, over-determination have become increasingly meaningful intellectual grains for those trying to explain how the capitalist system has managed to survive crisis after crisis and also how capitalism has been able to withstand against some of the powerful opposition movements that have been targeting capitalism.

Concepts like hegemony, over-determination, etc. focused upon individual subjective perception of social life rather than upon some definitions of objective conditions and so this idea led to re-examination of Marxism. People take social action of when conditions are particularly oppressive but when they perceive their conditions to be oppressive and unjust.

Perception, consciousness can be an independent force but cannot be merely a mechanistic reflection of reality. The traditional 'superstructure' rather than being merely reflective was in fact, constitutive. In other words, neo-Marxist point out that cultural, political and ideological factor created obedience, thereby allowing capitalist system to exercise not merely power but also authority. Consequently, neo-Marxist points out that the task of all scholarship and activism, the task of all theory and practice is to understand how superstructural factors acquire and exerted hegemonic force and how the consciousness so produced could be penetrative. The work of Gramsci and Althusser broadly presents such tendencies which confer a degree of autonomy to levels other than the economy.

3.2.1. LEIFEWORLD AND SYSTEM: JURGEN HABERMAS

Jürgen Habermas is a German philosopher and sociologist and one of the most important social theorists in the world. Heir to the founders of the Frankfurt School, Max Horkheimer and Theodor Adorno, his is one of the first names which come to mind at the mention of critical theory. His influence, like theirs, extends across the social sciences and humanities. His work focuses on social theory, epistemology, and analysis of capitalist society as well as democracy and law in social contexts and contemporary politics. His works were written in the 1960s and 1970s in West Germany, first in Frankfurt and later in Munich. He has written within the broad set of concerns of the critical theorists, making use of Marx, Weber, and Freud. His experiences during World War II influenced his reasoning regarding communism, legal issues and rationality about life.

His focus is on transforming the negative critique of original critical theory into a positive programme for emancipatory practice. Habermas has taken Marx's starting point (human potential, species being and sensuous human activity) and on the basis of this he reformulated

Marxian theory in the context of twentieth century social changes, and particularly in the light of the expansion of state power into all spheres of social life. Habermas states that the predicament in Marx's work is the reduction of the self-generative act of the human species to labour. Therefore, Habermas expands Marx's conception of humanity by adding language (communication) to work (labour) as a distinct feature of species-being. Habermas argues that Marx has failed to distinguish between two analytically distinct components of species being – work (purposive rational action) and social interaction (communicative action). Throughout his writings Habermas has tried to explain the fundamental distinction between work and interaction.

When it comes to purposive-rational action Habermas draws the distinction between instrumental (non-social) action and strategic action. Both involve the calculated pursuit of self-interest. Instrumental action is concerned with a single actor rationally calculating the best means to a given goal. Strategic action involves two or more individuals coordinating action in the pursuit of a goal. The objective of both instrumental and strategic action is instrumental mastery. Habermas is rather more interested in communicative action. In communicative action, participants are not primarily oriented to their own successes; they pursue their individual goals under the condition that they can harmonise their plans of action on the basis of common situation definitions. The objective of purposive rational action is to achieve a goal, whereas the objective of communicative action is to achieve communicative understanding. According to Habermas, the communicative, not purposive rational action is the most distinctive and most pervasive human phenomenon. So, communicative action is the foundation of all socio-cultural life. Here we should note that Marx has emphasised on work (purposive rational action), whereas Habermas emphasised on communication action.

The introduction of language as a significant part of human development led Habermas to concentrate on how undistorted communication might be possible and how it could lay the foundation for emancipatory practice. Distorted communication is the equivalent of Marx's false consciousness. Undistorted communication refers to the conditions under which social goals and values can be discussed on a rational, egalitarian basis so that a consensus can be reached on the ends and values to be pursued. Undistorted, rational communication only occurs when the "peculiarly constraint-free force of the better argument" prevailed.

Habermas's model for undistorted communication is psychoanalysis. In Freudian psychoanalysis the patient is encouraged, through a process of self-reflection, to become aware of previously repressed needs. Recovery (freedom) results from the patient's recognition of this self-imposed repression. As with the psychoanalyst, the role of the critical theorist is to assist the repressed to recognise and understand their collective, social situation and, as a result, formulate emancipatory practices. Habermas regards this endeavour as particularly important today because of the extent to which science and technology (S&T) distort communication in the interest of technological rationalisation and the political reinforcement of repression.

The baselines of both Marx and Habermas represent their political objectives. For Marx, the goal was a communist society in which undistorted work (species-being) would exist for the first time, whereas for Habermas the political goal is a society of undistorted communication (communicative action). In terms of the immediate goals, Marx seeks the elimination of (capitalist) communication. Habermas states that the elements of distorted communication are to be found in every act of contemporary communication. This brings us to central issue of rationalisation in Habermas's work. Here Habermas is influenced by Weber while extending Weber's recognition of the penetration of purposive-rationality in the economy to the knowledge

spheres of science, art, and political/legal/moral theory. His main point was that purposive-rationality penetrates everyday practices, especially everyday communications, and contributes to the loss of meaning in everyday life. In a modern society governed by purposive-rationality, everything “has a price”. Everything can be justified in rational means-end terms.

Two ideas are particularly important in grasping Habermasian worldviews. One is the notion that all human linguistic communication involves ‘validity claims’, implicitly made by all speakers. The other is the contention that an ‘ideal speech situation’, is presumed in the use of language. According to Habermas, when one person says something to another, that person implicitly (sometimes explicitly) makes the following claims:

(1) That what is said is intelligible – means, it obeys certain syntactical and semantic rules so that there is a ‘meaning’ which can be understood by the other.

(2) That the propositional content of whatever is said is true. The ‘propositional content’ refers to the factual assertions which the speaker makes as part of what he or she says.

(3) That the speaker is justified in saying whatever is said. In other words, certain social rights or ‘norms’ are invoked in the use of speech in any given context of language-use.

(4) That the speaker is sincere in whatever is said – means he or she does not intend to deceive the listener. Thus put, the argument sounds very abstract, but what Habermas has in mind can readily be illustrated by means of an example.

Suppose, in answer to enquiry from a traveller, a ticket clerk at the railway station says “that will be 1000 rupees for a holiday pass’. The passenger might not initially know what a ‘holiday pass’ is, and if so may appear puzzled. Then explaining what the phrase ‘holiday pass’ means, the clerk is justifying the first claim – that what he or she said was intelligible and meaningful, even though the traveller was first of all perplexed by it. It is implicit in what the clerk says that the

factual content of the statement is true – that it actually does cost 1000 rupees for the ticket (the second validity-claim). The passenger is also likely to take it for granted that the clerk has the right to make such an authoritative pronouncement about the railway fare (the third validity-claim); and the clerk sincerely believes what he or she says (the fourth validity-claim). Note, however, that there may be circumstances in which any or all of these three validity-claims may be contested by the passenger – in which case the clerk would be expected to justify or back up the statement that was made. Suppose, for example, the passenger suspected that the person standing on the other side of the counter was someone temporarily standing in for the usual clerk, because the real clerk was away from work. The passenger might then be inclined to check on the factual validity of the statement, and perhaps question the individual's right to be distributing tickets when not authorised by the railway to do so.

The ideal speech community is based on certain claims of Habermas and these are: (1) all individuals capable of speech can participate in the debate; (2) all individuals have equal rights to give their reasons for their stated position; and (3) no individual can be denied the right to participate in the debate. These are the necessary and universal conditions for the ideal speech community because they guarantee that the force of better (rational) argument will prevail. Argumentation is a situation in which competing validity claims are tested against each other on each of the three dimensions of morality, truth and sincerity. Argumentation reproduces social life both by grounding it in relation to communicative criteria and by serving as a context for learning. In reproducing understanding, by this means, actors constitute and reconstitute for themselves a world of consensus and understanding. For this world of understanding Habermas employs the Schutzian term lifeworld (*Lebenswelt*). The ideal speech situation is politically important in providing the foundation for the full realisation of human needs and interests.

Habermas states that knowledge exists at the objective level, whereas human interests are more subjective phenomena. According to Habermas, human beings organise themselves in terms of knowledge-constitutive human interests as a result of their work and symbolic communication about work activities. Habermas has distinguished among three knowledge systems and their corresponding interests. The first type of knowledge is analytic science or classical positivistic scientific systems. In Habermas's view the underlying interest of such a knowledge system is called as technical interests that give humans control over nature and are represented in the social organisation of work. The second type of knowledge systems is humanistic knowledge and its interest is in understanding the world. The interests attached to this type of knowledge are practical interests that enable human beings to act in relation to common traditions and rest on language competence. The third type is critical knowledge. The interests attached to this type of knowledge are emancipatory interests that have to do with power and the need to free human beings from domination.

Habermas has also debunked Marx's theory of class struggle, replacing it with his own concept of "crisis", where modern society is unable to meet the needs of the individuals. Habermas outlines four possible crisis tendencies in the modern society (advanced capitalist society): (1) economic crisis, originating in the economic system; (2) rationality crisis, originating in the political system; (3) legitimation crisis, originating in the political system; (4) motivation crisis, in the socio-cultural system. Of these types, he considers legitimation crisis and motivation crisis the most probable, deriving in large part from the displacement of economic and political crisis, respectively. Economic crisis may have two origins result from the regulation/distribution of value through the state apparatus. Legitimation crisis arises when the required degree of mass loyalty cannot be generated with respect to the state assumption of

steering mechanisms and economic functions. The administrative system might be able to ward off crisis temporarily, according to Habermas, through the manipulation of expressive symbols and devices such as participatory planning. Rationality crisis is intimately connected to the legitimation crisis which results from displaced systemic crisis when the administrative system fails to meet the steering demands of the economic system. Motivation crisis originates in the socio-cultural system which results from incompatibility between the requirements of the administrative and economic systems and the needs and expectations of individuals.

Habermas forsakes his earlier claim that the class structure of capitalist societies gives rise directly to crisis tendencies, which may or may not be successfully diffused, he replaces this with the view that the pathologies of late capitalism are caused by “colonisation of the lifeworld” by “the system”. Habermas’ formulation of the system-lifeworld distinction can be read in four ways: First, action-theoretic distinction where the lifeworld is the domain of communicative action, while the system is the domain where instrumental action predominates. Second, order-level distinction where order in the lifeworld is achieved through coordination of action orientations (social integration), while order in the system is achieved through coordination of action consequences (system integration). Third, he distinguishes the two earlier distinctions in terms of the media which are used to generate integration – steering media in the case of the system while natural language in the case of the lifeworld. Finally, methodological distinction where the system as governed by a “functional” logic, while the lifeworld as governed by interpretations (*verstehen*).

3.2.2. STRUCTURAL MARXISM: LOUIS ALTHUSSER

The overriding concern of Louis Althusser (1918-1990) is investigating Marxist philosophical thought. He registers the necessity for reading Marx which is at once rigorous and

critical. It is critical in the sense that the engagement with Marxist important discoveries can only be a part of major theoretical effort. This effort he says cannot be taken for granted. Althusser says we cannot see the entirety of Marx as a coherent unity. Rather we need to distinguish in Marx through theoretical deficiencies, terminological ambiguities, ideological survivals on the one hand and scientific concepts on the other hand. It is rigorous, in the sense that the condition for a fruitful application and elaboration of Marxist-Scientific concepts has to take into account the scope and boundaries of these concepts. Especially, Althusser is concerned to challenge the tendencies (like humanism, Hegelianism and historicism) which have haunted western Marxism. His two major works are *For Marx* (1969) and *Reading Capital* (1970).

Althusser is seen as a structural Marxist. He divides capitalist social formation into three levels: (1) economic level, (2) political level, and (3) ideological level. Althusser affirms that each of these three levels is relatively autonomous or independent from the other but each of these contributes to the development of overall social formation. He is seeking to escape from a crude economic determinism and hence, he employs the concept of over-determination. According to Althusser, determination by economic level only comes at the last instances. Also crucial to Althusser's project was the concept of ideological state apparatus. By means of this concept Althusser engages in the theorisation with the state. Classical Marxism viewed capitalist state as a repressive one because the state is a coercive or repressive apparatus which enables the ruling class to ensure their dominance over the other class. Althusser is seeking to revisit this theory.

In his essay "Ideology and Ideological Philosophy of the State" he argues what is important for the Marxist theory of the state is to address the reproduction of the conditions and relations of capitalist production which was guaranteed by the both repressive state apparatuses

and ideological state apparatuses. Repressive (ruling) state apparatus functions through the use of force e.g. police, army, prison, paramilitary. The function of ideological state apparatus is to persuade the masses in order to accept the ruling ideology/existing state affairs. The difference between these two apparatuses is in the degree of repression or ideology which they use. Althusser points out that ideological state apparatus can also use or resort to oppression, for example, censorship in the media. The different types of ideological state apparatus are relatively autonomous. But the unity of these apparatuses is provided by the ruling ideology and also by the common function which these apparatuses perform for the ruling class in perpetuating class oppression.

The dominant ideological state apparatus in advanced capitalist societies is education. Education has replaced the church in reproducing relations of production. According to him, it is the school which provides students with the relevant knowledge and training for occupying future positions in advanced capitalist societies. It is from the school the students receive a massive inculcation of ruling class ideology. By controlling ideological state apparatus, a ruling class stays in power for a long time. These ideological state apparatuses are crucial as the potential sites for intense class struggles and as part of these struggles one can use the weapons of ideology to turn against the rule classes.

Althusser uses another conception in his essay i.e. *interpellation* to account for individuals become social subjects. How they adopt the roles and rules laid down by the dominant structures in society. Ideology function is to interpellate individuals in terms of their intended role and thus adapt them to it. That's why he believed individuals are already always subjects before they are born. Individuals are appointed as a social object that already has a social identity. Althusser is criticised by fellow Marxists E. P. Thompson who says that

Althusser's engagement is a historical theoreticism. It is a complete denial of Marxist method which is rooted in history. Further, Althusser's Marxism is not able to handle culture, values in the real world. Rather it shifts from real world.

3.2.3. HEGEMONY AND MATURE CAPITALISM: ANTONIO GRAMSCI

The notion of hegemony is crucial to Antonio Gramsci (1891-1937), the Italian Marxist theorist and the founder of Italian Communist Party. It also embodies his legacy. According to him, the essential ingredient of the modern philosophical thought is the concept of "hegemony". Hegemony is defined as cultural leadership exercised by the ruling class. Hegemony is identified as the major dimension of manufacturing consent, involves the production of ways of thinking and seeing, and of exclusively alternative visions and discourses. He locates hegemony within the role of the 'private' or non-state levels of superstructure, distinguishing this social hegemony from the use of force, as the principal means of maintaining social order in capitalist societies.

Gramsci speaks of hegemony both in ideological and class terms. According to him, ideological hegemony consists of the process whereby a dominant class contrives to retain political power by manipulating popular opinion and creating what Gramsci refers to a popular consensus. Therefore, ideological hegemony refers to the processes of mobilisation of consent, not always through force but a creation of popular consensus. For him, through exploiting religion, education and elements of popular national culture, a ruling class can come to impose its world-view and come to have to accept it as common-sense (ideological hegemony).

According to Gramsci, class hegemony is the ability of one class to articulate the interest of other social groups to itself. It can be articulated two ways. One, the interest of those other groups can be so articulated as to neutralise the other groups. It, therefore, prevents the development of their own demands. Two, the interest of those other groups can be articulated in

such a way to promote their full development and thereby leading to the final resolution of the contradictions which they express.

Gramsci says, hegemony established by bourgeoisie society is often so total (not only mind, but also spirit) this hegemony is about not a process of ideological domination but normality. To counter this hegemony, Gramsci perceives ideological struggle is a vital element of political struggle. Gramsci believed intellectuals have a vital role to play in such struggles for hegemony (in attempts to mobilise the consent of people). In fact, Gramsci conceived of his *Prison-Notebooks* (1971) as an inquiry into the formation of the intellectuals and role of intellectuals not only at the make of Russian Revolution but, at the make of defeat of the workers movement in Western Europe. In analysis of capitalism, Gramsci wanted to know how some intellectuals, working on behalf of the capitalist, achieved cultural leadership and the assent of the masses.

Gramsci argues that all men are potentially intellectuals in the sense of having an intellect and using it, but not all are intellectuals by social function. In fact, Gramsci vastly extended the concept of intellectuals (not only those who think) about, almost to include anybody who exercises an organising function in the society. Intellectuals in the functional sense fall into two groups. In the first place there are the “traditional” professional intellectuals, literary, scientific and so on, whose position in the interstices of society has a certain inter-class aura about it but derives ultimately from past and present class relations and conceals an attachment to various historical class formations. Secondly, there are the “organic” intellectuals the thinking and organising element of a particular fundamental social class. These organic intellectuals are distinguished less by their profession, which may be any job characteristic of their class, than by their function in directing the ideas and aspirations of the class to which they organically belong.

Therefore, the notion of “the intellectuals” as a distinct social category independent of class is a myth.

3.3 CHECK YOUR PROGRESS /SELF-ASSESSMENT QUESTIONS – 1

Fill in the blanks with suitable words.

- (i) The basic idea of Critical Theory is based upon the idea of actions.
- (ii) Habermas expands Marx’s conception of humanity by adding to as a distinct feature of species-being.
- (iii) Habermas emphasised on action.
- (iv) Individuals are already always before they are born.
- (v) Hegemony is identified as manufacturing
- (vi) Choose/Tick mark the correct answer:

For Neo-Marxists, superstructure being a -

- (a) Constitutive element
- (b) Directive element
- (c) Oppressive element
- (d) Reflective element

- (vii) Choose/Tick mark the correct answer:

The book written by Jürgen Habermas is entitled as-

- (a) The Theory of Communicative Action
- (b) The Theory of Religions Action
- (c) The Theory of Scientific Action
- (d) None of the above

- (viii) Choose/Tick mark the correct answer:

The book written by Louis Anthusser is entitled as-

- (a) For Marx
- (b) Against Marx
- (c) Of Marx
- (d) With Marx

(ix) Choose/Tick mark the correct answer:

For Gramsci, hegemony is identified by-

- (a) Academic leadership
- (b) Cultural leadership
- (c) Political leadership
- (d) None of the above

(x) Choose/Tick mark the correct answer:

Whose writings are called as “Prison-Notebooks”?-

- (a) Garfinkel
- (b) Giddens
- (c) Goffman
- (d) Gramsci

3.4. LET US SUM UP

In this unit you learnt about the central idea of the Frankfurt School of critical theory. The School’s aim was to present analysis of the changed nature of capitalism and the new forms of oppression that had arisen. Critical theory refers to a tradition of philosophical reflection which is characterised by close engagement with the social sciences, combined with a rejection

of methodological value-neutrality in favour of a style of enquiry governed by what Jürgen Habermas once referred to as “emancipatory interest” of human reason.

We studied Habermas, who viewed that the pathologies of late capitalism are caused by the “colonisation of the lifeworld” by “the system”. Habermas draws the distinction between lifeworld and system in his work such as *Legitimation Crisis* (1975) and *The Theory of Communicative Action* (1984). In this unit we explained the notion of neo-Marxism and the work of Gramsci and Althusser broadly presents such notions which confer a degree of autonomy to levels other than the economy. The neo-Marxist theory stemming from the influence of Gramsci and Althusser focuses upon the question of the “reproduction of the social relations of production”. What their work indicates is that capitalism especially late capitalism (after World War II) is not self-reproducing but it is reproduced and perpetuated through processes which in advanced capitalist states cannot be reduced to process of coercion or force. The implications of Althusser and Gramsci in particular are to focus upon processes of the effectiveness of the non-economic levels e.g. the ideological and political levels in the reproduction of capitalist society.

We also described the recent trends in sociological theorising based on the ideas of Anthony Giddens and Pierre Bourdieu. The section on Giddens offered a preliminary exposition of the main concepts of structuration theory – ‘structure’, ‘system’ and ‘duality of structure’ – and explored whether the theory of structuration is successful. Bourdieu highlights the individual’s subjective experience, which – if we simplify a bit – he calls the ‘habitus’, and its dialectical relationship with the wider world (which he calls the ‘field’). Finally, we looked at the opening of a new era, to which the social sciences must respond and which is taking us beyond modernity itself. This transition has been suggested by a few as postmodernism.

3.5. KEY TERMS

Class hegemony: A term given by Antonio Gramsci which is the ability of one class to articulate the interest of other social groups to itself.

Critical theory: It refers to a tradition of philosophical reflection which is characterised by close engagement with the social sciences, combined with a rejection of methodological value-neutrality in favour of a style of enquiry governed by what Jürgen Habermas once referred to as “emancipatory interest” of human reason.

Field: A term given by Pierre Bourdieu which is semi-autonomous, characterised by its determinate agents, by its own accumulation of history, its own logic of action and its own form of capital. The fields, however, are not fully autonomous.

Habitus: A set of acquired patterns of thought, behaviour, and taste, which is said by Pierre Bourdieu to constitute the link between social structures and social practices.

Hegemony: A concept given by Antonio Gramsci which is defined as cultural leadership exercised by the ruling class. It is identified as the major dimension of manufacturing consent, involves the production of ways of thinking and seeing, and of exclusively alternative visions and discourses.

Ideological hegemony: Another term given by Antonio Gramsci which refers to the processes of mobilisation of consent, not always through force but a creation of popular consensus.

Ideological state apparatus: A term given by Louis Althusser whose function is to persuade the masses in order to accept the ruling ideology/existing state affairs.

Instrumental action: A concept given by Jürgen Habermas which is concerned with a single actor rationally calculating the best means to a given goal.

Intellectual: Anybody who exercises an organising functions in the society, as said by Antonio Gramsci.

Neo-Marxism: The idea of neo-Marxism is re-examination of Marxism while focusing upon individual subjective perception of social life rather than upon some definitions of objective conditions.

Organic intellectual: A social category used by Antonio Gramsci includes intellectuals who are distinguished less by their profession, which may be any job characteristic of their class, than by their function in directing the ideas and aspirations of the class to which they organically belong.

Repressive state apparatus: Another term given Louis Althusser which functions through the use of force e.g. police, army, prison, paramilitary.

Semiotics: It is the structuralist study of various systems of meaning, like myths, traffic signals, language, fashion, etc.

Strategic action: Another concept given by Jürgen Habermas which involves two or more individuals coordinating action in the pursuit of a goal.

Structuration: A term used by Anthony Giddens which means studying the ways in which social systems are produced and reproduced in social interaction. Giddens defines structuration as the structuring of social relations across time and space, in virtue of the duality of structure.

Traditional intellectual: Another social category used by Antonio Gramsci includes professionals, literary people, scientists and so on, whose position in the interstices of society has a certain inter-class aura about it but derives ultimately from past and present class relations and conceals an attachment to various historical class formations.

Undistorted communication: A concept given by Jürgen Habermas which refers to the conditions under which social goals and values can be discussed on a rational, egalitarian basis so that a consensus can be reached on the ends and values to be pursued.

3.6. ANSWERS TO ‘CHECK YOUR PROGRESS’

Check your progress - 1

(i) Non-rational, (ii) language (communication) to work (labour), (iii) communicative, (iv) subjects, (v) consent, (vi) (a), (vii) (a), (viii) (a), (ix) (b), (x) (d)

Check your progress - 2

(i) Relational analysis, (ii) metanarratives, (iii) object, (iv) (b), (v) (c), (vi) (d), (vii) (d)

3.7. SELF-ASSESSMENT QUESTIONS

3.7.1. SHORT-ANSWER QUESTIONS

- (i) What is neo-Marxism?
- (ii) Define legitimation crisis.
- (iii) Explain Knowledge and human interests.
- (iv) Explain ideal speech situation.
- (v) Distinguish between life world and system.
- (vi) What does Gramsci mean by hegemony?
- (vii) What is structuration?
- (viii) What is semiotics?

3.7.2. LONG-ANSWER QUESTIONS

- (i) Highlight the socio-historical matrix in which Critical Theory evolved, and indicate the relevance of the issues addressed with respect to the project as a whole.
- (ii) Analyse Habermas’s theory of communicative action.

- (iii) What are the basic principles of Althusser's ideological state apparatus?
- (iv) Examine Pierre's Bourdieu's notion of habitus and field.
- (v) Outline the essential features of postmodernism.

3.8. FURTHER READINGS/SUGGESTED READINGS

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UNIT-4

CRITICAL THEORY AND NEO-MARXISM II

STRUCTURE

4.0. Introduction

4.1. Unit Objectives

4.2. Recent trends in sociological theorising

4.2.1. Structuration: Anthony Giddens

4.2.2. Habitus and Field: Pierre Bourdieu

4.2.3. Postmodernism, Semiotics and Convergence

4.3. Check Your Progress/Self-Assessment Questions - 2

4.4. Let us sum up

4.5. Key Terms

4.6. Answer to ‘Check your progress’

4.7. Self-Assessment Questions

4.7.1. Short-Answer Questions

4.7.2. Long-Answer Questions

4.8. Further readings/suggested readings

4.0 INTRODUCTION

In this unit the first aim will be to outline the reasons why some sociologists turned to Freudian theory which resulted into Critical theory. The main reason, stated briefly, was to help the understanding and the explanations of non-rational actions. Critical theorists or members of the Frankfurt School, was working in Germany and the United States. One of the most recent and influential members of this group is Jürgen Habermas. This group is Marxist, or neo-Marxist, that is influenced by Marx, but not dogmatic in their approach. A good deal of the most significant neo-Marxists has dealt with superstructures in this unit: Gramsci on hegemony, Althusser on ideological state apparatuses. Later, this unit will offer an exposition of the recent trends in sociological theorizing based on the ideas of Anthony Giddens and Pierre Bourdieu. Finally a brief summary will thread the key arguments of these thinkers.

4.1. UNIT OBJECTIVES

After reading this unit, you should be able to:

- explain the central theme of the Frankfurt School of Critical Theory and outline the notion of neo-Marxism
- comprehend the conceptual map of Jürgen Habermas's philosophy
- discuss Louis Althusser and the resurrection of Marx
- analyse Antonio Gramsci and the hegemonic force
- describe the recent trends in sociological theorising based on the ideas of Anthony Giddens (structuration theory) and Pierre Bourdieu (habitus and field)
- highlight the features of postmodernism

4.2. RECENT TRENDS IN SOCIOLOGICAL THEORISING

4.2.1. STRUCTURATION: ANTHONY GIDDENS

Whereas Habermas tried to assert the continuing relevance of the Enlightenment project of modernity and postmodernists have declared the end of modernity, a leading scholar and British sociologist, Anthony Giddens assert that we are living in 'high' modernity which is so different from but a continuity of modernity. As we shall see, this tendency to attempt to identify a 'middle-way' between opposing positions is typical of Giddens' social theory, his analyses of contemporary society and his political programme. Thus, Giddens claims to have developed a distinctive form of sociological theory, structuration theory that combines elements of two typically opposed theoretical traditions. These are the interpretivist approach that focuses on sociology as the study of voluntary action (Weber, Goffman, Schutz, Garfinkel, etc.) and the structuralist approach that focuses on sociology as the study of persisting patterns or structures of

relationships that exist independently will of the actors (Marx, Durkheim, Foucault, Bourdieu, etc.). Structuration theory suggests that human activity and larger structure relate with each in such a way that structures are produced or altered by new ways and means.

Structuration means studying the ways in which social systems are produced and reproduced in social interaction. Giddens defines structuration as the structuring of social relations across time and space, in virtue of the duality of structure. Structuration is a process, modifies and developed by the actor. Structuration, therefore, refers to the processes involved in the forming of structures. In other words, structuration refers to the claim that action and structure are not opposed but mutually dependent. However, it is important to note that Giddens rarely refers to action, preferring instead the term agency i.e. the continuous flow of conduct. The emphasis on “flow” indicates that agency is not to be equated with the activities of particular individuals but with the developing totality of activities over both time and space. What we call ‘structure’ is the “objectification”, the institutionalisation, of past agency; again social reality is located in history.

Giddens seems to be drawing significantly on the work of Schutz (phenomenology), who argued that social action is, actually, interaction at one and the same time with Predecessors, Contemporaries and Successors. We inherit a socially organised world from Predecessors which, together with our Contemporaries, we reproduce and modify and then hand on to our Successors as their received world. Therefore, social reality is process; it is neither a fixed institutional structure nor a set of discrete actions but the ongoing interaction between these elements. Thus we are the agents of society; we act of its behalf freely but within its constraints. We are not the puppets of the social structure nor are social reality whatever we wish it to be. Therefore Giddens’ synthesis achieves its goals insofar as it avoids (a) prioritising either structure or action,

(b) the individualism action theories (action is collective) and (c) the determination of structuralist theories (structure is negotiated and modified through agency). However, at one point, Giddens states that sociological research can be conducted from either the ‘agency’ of the ‘structure’ side of the process. This may be just a slip of the pen but, if not, it would seem to undermine the claim that structuration theory synthesises these twin aspects of social reality. That is, we can study society as either ‘subjective’ or ‘objective’ reality. Giddens needs to show us how we can account for society as both objective and subjective reality at the same time.

Giddens also develops the implications of structuration as a means of understanding high modernity. In particular the process of structuration in modern societies synthesises the agency of a great many agents who are increasingly dispersed over time and space. A consequence of this is that de-traditionalisation, the denial of the authority of the past, is typical of high modernity. This compression of time and place and loss of shared, authoritative procedures entails, he claims, that contemporary modern society generates increasing levels of risk at both interpersonal (absence of trust) and systemic (weakness of control) levels. Giddens has particularly explored these issues in relation to problems of intimacy and the construction of self and also in relation to environmental degradation etc. It was, in part, through these studies that Giddens argued that neither socialism nor capitalism were capable of addressing adequately these issues and developed his political theory of the ‘third way’, based on the idea of the citizen as an active and knowledgeable participant in the political process.

Is the theory of structuration successful? It has been claimed that (a) Giddens has merely expressed familiar problems in new/invented words; (b) Giddens effectively re-defines structure in interactionist terms i.e. the synthesis is one-sided; (c) power is, arguable, the central concept for structuralist theories but, it is claimed that, within structuration theory, there is no account of

the origin and distribution of power. If true, is this a consequence of Giddens' attempt to avoid structural determinism? (d) Structuration theory and the third way suffer the same weakness of advancing bland ideas in impressive language.

4.2.2. HABITUS AND FIELD: PIERRE BOURDIEU

Bourdieu has long been a dominant figure in French sociology. He is increasingly influential internationally known today. He is concerned with reflexive sociology, which implicates the subject and the object in a reflexive relationship. Bourdieu in a series of research projects and publication starting in around 1950s has addressed a diversity of empirical topics and theoretical themes through fascinating use of data. Two concepts lie at the heart of Bourdieu's project: habitus and field. According to Bourdieu, these two concepts are capable of yielding an understanding of social life that other social science methods have not succeeded in offering.

The concept of habitus is central to Bourdieu's theory of practice. A theory which seeks to transcend the opposition between theories that look at practice as constituting and these theories that view practice as constituted. In this context, Bourdieu treats social life as a mutually constituting interaction of structures, dispositions and actions, where social structures and embodied knowledge of those structures produce enduring orientations to action which in turn are constitutive of social structures. In other words, what Bourdieu is trying to focus on are those orientations to action which are at once "structuring structures" and "structured structures". In fact, these orientations to action are shaping practice and are shaped by practice. Practice, however, Bourdieu reiterates does not follow directly from orientation to action. According to Bourdieu, to see practice following directly from orientation is to take on assumption to structures much attitudinal, public-opinion surveys etc. Rather, according to Bourdieu, practice

results from a process of improvisation which, in turn is structured by cultural orientations, personal trajectories and also to play with social interaction. This capacity for structures improvisation to what Bourdieu terms as the “habitus”.

According to Bourdieu, the improvisation of the habitus is not merely responses to environmental stimuli rather, the improvisations of habitus are moments in strategies which, do not merely express the subjective intentions of the actors but are structurally grounded. In fact, they are strategies of what Bourdieu terms the “accumulation of capital”. Bourdieu thus characterizes the habitus as a system of “general generative schemes” which are both durable and transposable. Durable, in the sense that they are obtaining or inscribed in the social construction of the self and transposable in the sense that the schemes can be transferred from one field to another field. Further, Bourdieu points out often, the habitus can also function on an unconscious place and the habitus often takes place within a structured place of possibilities. In fact, the notion of the habitus enables Bourdieu to analyze the behaviour of agents as objectively to coordinate and regular and yet see that behaviour as not being exclusively the product of rules or eve of conscious rationality. The notion of habitus primarily is meant to capture the practical mastery that people have of their social situation and by also grounding the mastery and locating the mastery socially.

The purpose of Bourdieu’s concept of field is to provide a framework of “relational analysis”. By relational analysis Bourdieu means an account of multi-dimensional space of positions and the position-taking of agents, The position of a particular agent, according to Bourdieu, is the result of an interplay between that person’s habitus and his/her place in the field of positions as defined by the distribution of the appropriate terms of (economic and symbolic) capital.

According to Bourdieu, the nature and range of possible positions across field varies both socially and historically. Each field is semi-autonomous, characterised by its determinate agents, by its own accumulation of history, its own logic of action and its own form of capital. The fields, however, are not fully autonomous. In fact, the rewards of capital (economic and symbolic) gained in one field can be transferred into another field. Moreover, each field is immersed in an institutional field of power and even more broadly, immersed in the field of class-relations. Therefore, Bourdieu insists each field is thus, the site of struggles if, there are not only struggles within given fields, there is also struggles over the power to define a field.

That's why, for Bourdieu, the constitutions of different forms of capital and this convertibility into various fields of activity becomes central themes of research in Bourdieu's sociology. So, Bourdieu sees there is an interrelationship between these concepts: habitus and field. Bourdieu inter-relates these two concepts which are central to his project. He conceives of practice in terms of relationship between class habitus and current capital, as realised within the specific logic of a given field. The habitus for Bourdieu is self-reflexive, in that, each time it is realized in practice it encounters itself both as embedded and capable of being re-embedded.

On the basis of these two concepts of habitus and field and intertwining of these two concepts, Bourdieu has formulated a reflexive approach to human life that addresses the arbitrary conditions in the production of social structure and of those dispositions and attitudes that are related within it. So, such an approach is tied to a notion of emancipation. For Bourdieu, the study of human lives wouldn't be worth the trouble if it didn't help the agents to grasp the meaning of their actions. Bourdieu's approach seeks to clarify, unravel/illuminate the social and cultural reproduction of inequality by analyzing the processes of "mis-recognition". That is to

say, by investigating how the habitus of dominated groups can disguise/veil the conditions of their own subordination.

4.2.3. POSTMODERNISM, SEMIOTICS AND CONVERGENCE

The term postmodernism is associated with the historical epoch following the modern era. Further postmodernism refers to the realm of ideas/cultural products which are different/separate from the modern cultural products (in art, architecture, literature etc.). Postmodernism refers to a discourse that differs from modern social theory. The essential features of postmodernism are: (1) anti-epistemology; (2) decentering society; (3) anti-essentialism; (4) concern within determining with meaning; (5) multiplicity in perspective in perceiving the world. Lyotard (1979) defines postmodernism as incredulity toward metanarratives. Lyotard celebrates the multiple, incompatible, heterogeneous, fragmented, contradictory and ambivalent nature of postmodern society while Frederic Jameson distrusts and dislikes it. In his essay “Postmodernism: the Cultural Logic of Late Capitalism”, Jameson does not see the postmodern era as postindustrial – as an ebb in the tide of capitalism. Rather, he sees it as an intensification and latest phase of a capitalist world system.

Semiotics is the structuralist study of various systems of meaning, like myths, traffic signals, language, fashion, etc. The term “Devil” for instance, has no meaning by itself. It only takes on meaning as an element in a system of theology/myth, where “devil” is related to other concepts such as “God”, “Angel”, etc. Baudrillard’s works combine a semiotic, structuralist, study of culture with a neo-Marxist analysis. Baudrillard argues that just as a young boy who grows up among wolves becomes wolf-like, people in postmodern society, growing up in a world of objects become more object-like.

4.3. CHECK YOUR PROGRESS/SELF-ASSESSMENT QUESTIONS - 2

Fill in the blanks with suitable words.

- (i) The purpose of Bourdieu's concept of field is to provide a framework of
- (ii) Postmodernism can be defined as incredulity toward
- (iii) Semiotics is an analysis of the meaning of the
- (iv) Choose/Tick mark the correct answer:

Who said to have articulated integration of 'agency and structure' in structuration theory?-

- (a) Harold Garfinkel
- (b) Anthony Giddens
- (c) Patrick Giddes
- (d) Erving Goffman
- (v) Choose/Tick mark the correct answer:

Giddens' structuration theory is a-

- (a) Left-way
- (b) Right-way
- (c) Middle-way
- (d) None of the above
- (vi) Choose/Tick mark the correct answer:

Bourdieu is concerned with-

- (a) Comparative sociology
- (b) Dialectical sociology
- (c) Interpretive sociology
- (d) Reflexive sociology

(vii) Choose/Tick mark the correct answer:

Habitus is-

- (a) Structure
- (b) Social structure
- (c) Class structure
- (d) Structuring structure

4.4. LET US SUM UP

In this unit you learnt about the central idea of the Frankfurt School of critical theory. The School's aim was to present analysis of the changed nature of capitalism and the new forms of oppression that had arisen. Critical theory refers to a tradition of philosophical reflection which is characterised by close engagement with the social sciences, combined with a rejection of methodological value-neutrality in favour of a style of enquiry governed by what Jürgen Habermas once referred to as “emancipatory interest” of human reason.

We studied Habermas, who viewed that the pathologies of late capitalism are caused by the “colonisation of the lifeworld” by “the system”. Habermas draws the distinction between lifeworld and system in his work such as *Legitimation Crisis* (1975) and *The Theory of Communicative Action* (1984). In this unit we explained the notion of neo-Marxism and the work of Gramsci and Althusser broadly presents such notions which confer a degree of autonomy to levels other than the economy. The neo-Marxist theory stemming from the influence of Gramsci and Althusser focuses upon the question of the “reproduction of the social relations of production”. What their work indicates is that capitalism especially late capitalism (after World

War II) is not self-reproducing but it is reproduced and perpetuated through processes which in advanced capitalist states cannot be reduced to process of coercion or force. The implications of Althusser and Gramsci in particular are to focus upon processes of the effectiveness of the non-economic levels e.g. the ideological and political levels in the reproduction of capitalist society.

We also described the recent trends in sociological theorising based on the ideas of Anthony Giddens and Pierre Bourdieu. The section on Giddens offered a preliminary exposition of the main concepts of structuration theory – ‘structure’, ‘system’ and ‘duality of structure’ – and explored whether the theory of structuration is successful. Bourdieu highlights the individual’s subjective experience, which – if we simplify a bit – he calls the ‘habitus’, and its dialectical relationship with the wider world (which he calls the ‘field’). Finally, we looked at the opening of a new era, to which the social sciences must respond and which is taking us beyond modernity itself. This transition has been suggested by a few as postmodernism.

4.5. KEY TERMS

Class hegemony: A term given by Antonio Gramsci which is the ability of one class to articulate the interest of other social groups to itself.

Critical theory: It refers to a tradition of philosophical reflection which is characterised by close engagement with the social sciences, combined with a rejection of methodological value-neutrality in favour of a style of enquiry governed by what Jürgen Habermas once referred to as “emancipatory interest” of human reason.

Field: A term given by Pierre Bourdieu which is semi-autonomous, characterised by its determinate agents, by its own accumulation of history, its own logic of action and its own form of capital. The fields, however, are not fully autonomous.

Habitus: A set of acquired patterns of thought, behaviour, and taste, which is said by Pierre Bourdieu to constitute the link between social structures and social practices.

Hegemony: A concept given by Antonio Gramsci which is defined as cultural leadership exercised by the ruling class. It is identified as the major dimension of manufacturing consent, involves the production of ways of thinking and seeing, and of exclusively alternative visions and discourses.

Ideological hegemony: Another term given by Antonio Gramsci which refers to the processes of mobilisation of consent, not always through force but a creation of popular consensus.

Ideological state apparatus: A term given by Louis Althusser whose function is to persuade the masses in order to accept the ruling ideology/existing state affairs.

Instrumental action: A concept given by Jürgen Habermas which is concerned with a single actor rationally calculating the best means to a given goal.

Intellectual: Anybody who exercises an organising function in the society, as said by Antonio Gramsci.

Neo-Marxism: The idea of neo-Marxism is re-examination of Marxism while focusing upon individual subjective perception of social life rather than upon some definitions of objective conditions.

Organic intellectual: A social category used by Antonio Gramsci includes intellectuals who are distinguished less by their profession, which may be any job characteristic of their class, than by their function in directing the ideas and aspirations of the class to which they organically belong.

Repressive state apparatus: Another term given Louis Althusser which functions through the use of force e.g. police, army, prison, paramilitary.

Semiotics: It is the structuralist study of various systems of meaning, like myths, traffic signals, language, fashion, etc.

Strategic action: Another concept given by Jürgen Habermas which involves two or more individuals coordinating action in the pursuit of a goal.

Structuration: A term used by Anthony Giddens which means studying the ways in which social systems are produced and reproduced in social interaction. Giddens defines structuration as the structuring of social relations across time and space, in virtue of the duality of structure.

Traditional intellectual: Another social category used by Antonio Gramsci includes professionals, literary people, scientists and so on, whose position in the interstices of society has a certain inter-class aura about it but derives ultimately from past and present class relations and conceals an attachment to various historical class formations.

Undistorted communication: A concept given by Jürgen Habermas which refers to the conditions under which social goals and values can be discussed on a rational, egalitarian basis so that a consensus can be reached on the ends and values to be pursued.

4.6. ANSWERS TO ‘CHECK YOUR PROGRESS’

Check your progress - 1

(i) Non-rational, (ii) language (communication) to work (labour), (iii) communicative, (iv) subjects, (v) consent, (vi) (a), (vii) (a), (viii) (a), (ix) (b), (x) (d)

Check your progress - 2

(ii) Relational analysis, (ii) metanarratives, (iii) object, (iv) (b), (v) (c), (vi) (d), (vii) (d)

4.7. SELF-ASSESSMENT QUESTIONS

4.7.1. SHORT-ANSWER QUESTIONS

(i) What is neo-Marxism?

- (ii) Define legitimation crisis.
- (iii) Explain Knowledge and human interests.
- (iv) Explain ideal speech situation.
- (v) Distinguish between life world and system.
- (vi) What does Gramsci mean by hegemony?
- (vii) What is structuration?
- (viii) What is semiotics?

4.7.2. LONG-ANSWER QUESTIONS

- (i) Highlight the socio-historical matrix in which Critical Theory evolved, and indicate the relevance of the issues addressed with respect to the project as a whole.
- (ii) Analyse Habermas's theory of communicative action.
- (iii) What are the basic principles of Althusser's ideological state apparatus?
- (iv) Examine Pierre's Bourdieu's notion of habitus and field.
- (v) Outline the essential features of postmodernism.

4.8. FURTHER READINGS/SUGGESTED READINGS

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